

PATENT
Attorney Docket 71416

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED

Applicants: James ROBERGÉ et al. **CERTIFICATE OF MAILING** Appln No.: 09/939,392 I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: August 24, 2001 Filed: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this date. For: STRUCTURED SPEECH RECOGNITION 1/23/02 Date Group Art Registration No. Attorney for Applicant(s) Unit: 2641 Examiner:

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents and Trademarks ATTENTION: Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, Applicants bring the following information, which is also listed on the attached Form PTO-1449, to the attention of the Examiner.

U.S. PATENT DOCUMENTS

Patent No.	<u>Issue Date</u>	Inventor(s)
5,226,117	07/06/93	Miklos
5,241,624	08/31/93	Torres
5,247,666	09/21/93	Buckwold
5,263,174	11/16/93	Layman
5,307,262	04/26/94	Ertel
5,379,422	01/03/95	Antoshenkov

Appln No. 09/939,392 August 24, 2001

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U.S. PATENT DOCUMENTS

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Patent No.	<u>Issue Date</u>	Inventor(s) Technology Center 2600
5,412,776	05/02/95	Bloomfield et al.
5,425,140	06/13/95	Bloomfield et al.
5,504,850	04/02/96	Aoyama
5,715,449	02/03/98	Peters, Jr. et al.
5,801,702	09/01/98	Dolan et al.
5,812,134	09/22/98	Pooser et al.
5,812,135	09/22/98	Kotchey
5,890,103	03/30/99	Carus
5,905,498	05/18/99	Diament
5,940,831	08/17/99	Takano
5,950,168	09/07/99	Simborg et al.
5,953,017	09/14/99	Beach et al.
5,953,724	09/14/99	Lowry
5,970,460	10/19/99	Bunce et al.
6,058,366	05/02/00	Tarkiainen et al.
6,085,160	07/04/00	D'hoore et al.
6,131,098	10/10/00	Zellweger
6,154,750	11/28/00	Robergé et al.
6,185,524 B1	02/06/01	Carus et al.
6,260,013 B1	07/10/01	Sejnoha
6,272,463 B1	08/07/01	Lapere
6,275,802 B1	08/14/01	Aelten

The following related patent application is currently pending in the United States Patent and Trademark Office:

<u>Appln No.</u>	<u>Filed</u>	<u>Inventor</u>	Attorney Docket			
09/584,925	05/31/00	Robergé et al.	69037			

All documents referenced herein were cited during prosecution of the above-referenced pending application and during prosecution of related application number 09/053,304 which issued as U.S. Patent No. 6,154,750, listed above.

Appln No. 09/939,392 August 24, 2001

A copy of each reference cited herein and listed on the attached Form Technology Center 2600 PTO-1449 is enclosed.

Pursuant to 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

By

Perry J. Hoffman

Registration No. 37,150

January 23, 2002

Suite 1600 120 South LaSalle Street Chicago, Illinois 60603-3406 (312) 577-7000

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Sheet __1_ of __3 FEB 0 1 2002 Docket Number 71416 **I6Chnology Center 2600 Application Number** Form PTO-1449 09/939,392 INFORMATION DISCLOSURE CITATION Applicant IN AN APPLICATION James ROBERGÉ et al. Group Art Unit Filing Date (Use several sheets if necessary) August 24, 2001 2641 **U. S. PATENT DOCUMENTS EXAMINER** SUB -FILING DATE **CLASS** NAME DOCUMENT NUMBER DATE CLASS IF APPROP. INITIAL 7 2 2 07/06/93 Miklos 5 6 1 1 5 2 4 1 6 2 4 08/31/93 **Torres** 5 2 7 6 6 6 09/21/93 **Buckwold** 4 5 2 6 3 1 7 4 11/16/93 Layman 7 2 6 2 04/26/94 Ertel 5 3 0 9 2 2 5 3 7 4 01/03/95 Antoshenkov 4 2 7 7 6 05/02/95 Bloomfield et al. 5 1 2 0 06/13/95 Bloomfield et al. 5 4 5 1 4 5 5 0 4 8 5 0 04/02/96 Aoyama 7 4 9 Peters, Jr. et al. 5 1 5 4 02/03/98 5 8 0 1 0 2 09/01/98 Dolan et al. **FOREIGN PATENT DOCUMENTS** Translation SUB DOCUMENT NUMBER DATE COUNTRY **CLASS CLASS** Yes No OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



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Form PTO-1449

INFORMATION DISCLOSURE CITATION

FFB 0 1 2002 **Docket Number**

Technology Center 2600

Application Number 09/939,392

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION								TION	Applicant James Robergé et al.				
(Use several sheets if necessary)									Filing Date August 24, 2001	Group Art Unit 2641			
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	5	8	1	2	1	3	4	09/22/98	Pooser et al.				
	5	8	1	2	1	3	5	09/22/98	Kotchey				
	5	8	9	0	1	0	3	03/30/99	Carus				
	5	9	0	5	4	9	8	05/18/99	Diament				
	5	9	4	0	8	3	1	08/17/99	Takano				
	5	9	5	0	1	6	8	09/07/99	Simborg et al.				
	5	9	5	3	0	1	7	09/14/99	Beach et al.				<u>,, -</u> ,
	5	9	5	3	7	2	4	09/14/99	Lowry				
	5	9	7	0	4	6	0	10/19/99	Bunce et al.				
	6	0	5	8	3	6	6	05/02/00	Tarkiainen et al.				
	6	0	8	5	1	6	0	07/04/00	D'hoore et al.				
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FEB 0 1 2002 **Application Number Docket Number** Form PTO-1449 71416 09/939,392 Technology Center 2600 INFORMATION DISCLOSURE CITATION Applicant IN AN APPLICATION James Robergé et al. Group Art Unit (Use several sheets if necessary) Filing Date 2641 August 24, 2001 **U. S. PATENT DOCUMENTS** SUB FILING DATE **EXAMINER CLASS** DOCUMENT NUMBER DATE NAME IF APPROP. CLASS INITIAL 8 10/00/00 6 3 0 9 Zellweger 6 1 5 4 7 5 0 11/28/00 Robergé et al. 2 6 8 5 5 4 02/06/01 Carus et al. 1 6 2 0 0 3 07/10/01 6 1 Sejnoha 2 7 2 3 6 6 08/07/01 4 Lapere 6 2 7 5 8 0 2 08/14/01 Aelten **FOREIGN PATENT DOCUMENTS** Translation SUB COUNTRY CLASS DATE DOCUMENT NUMBER **CLASS** Yes No **OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.) **DATE CONSIDERED EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to the applicant.